# **Research Paper** Feature Extraction With Stacked Autoencoders for EEG Channel Reduction in Emotion Recognition



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#### **Keywords:**

Deep learning, Stacked auto-encoder, Channel reduction, Electroencephalogram (EEG) analysis, Emotion

## **ABSTRACT**

**Introduction:** Emotion recognition by electroencephalogram (EEG) signals is one of the complex methods because the extraction and recognition of the features hidden in the signal are sophisticated and require a significant number of EEG channels. Presenting a method for feature analysis and an algorithm for reducing the number of EEG channels fulfills the need for research in this field.

**Methods:** Accordingly, this study investigates the possibility of utilizing deep learning to reduce the number of channels while maintaining the quality of the EEG signal. A stacked autoencoder network extracts optimal features for emotion classification in valence and arousal dimensions. Autoencoder networks can extract complex features to provide linear and non-linear features which are a good representative of the signal.

**Results:** The accuracy of a conventional emotion recognition classifier (support vector machine) using features extracted from SAEs was obtained at 75.7% for valence and 74.4% for arousal dimensions, respectively.

**Conclusion:** Further analysis also illustrates that valence dimension detection with reduced EEG channels has a different composition of EEG channels compared to the arousal dimension. In addition, the number of channels is reduced from 32 to 12, which is an excellent development for designing a small-size EEG device by applying these optimal features.

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## Highlights

• Stacked autoencoder networks can extract complex features to provide linear and non-linear features of the electroencephalogram (EEG) signal.

• The accuracy of emotion recognition classifier (support vector machine) using features extracted from stacked autoencoders was 75.7% for emotional valence and 74.4% for emotional arousal dimensions.

• The use of features extracted from stacked autoencoder networks could reduce the number of EEG channels from 32 to 12, which is appropriate for designing a small EEG device.

## Plain Language Summary

This study aims to understand people's emotions by using brain signals recorded with a method called EEG. Typically, many sensors on the head are required to get enough information, which can be complicated and uncomfortable. Our objective was to find a way to use fewer sensors while still obtaining accurate information about emotions. To achieve this, we used a type of artificial intelligence called deep learning to identify the most important parts of the brain signals that indicate emotions. We tested our method and found that it could correctly identify emotions about 75% of the time. Importantly, we were able to reduce the number of sensors needed from 32 to 12 without losing much accuracy. This finding is significant because it suggests that future EEG devices could be smaller and easier to use. Simplifying the sensor setup could make it easier to monitor mental health and assist in other areas where understanding emotions is important. Our goal is to make this technology more practical and accessible for everyday use.

## **1. Introduction**

motions are recognized as one of the most important parameters in daily human activities. The most important effect of this parameter is the creation of healthy relationships in social environments (Wei et al., 2020). Identifying emotion is the first

step to discovering the effective human connection in the face of the environment, which has become one of the most up-to-date subjects to control the positive and negative states of human beings (Saleh Khajeh Hosseini et al., 2022). Emotions can be identified qualitatively by assessing facial expressions (Schirmer, 2017). The study of brain signals is a quantitative method in that braincomputer interface systems have successfully achieved a high level of classification of emotional states through machine learning applications.

Brain signals are produced by the central neuron system (Topic & Russo, 2021). These signals are performed non-invasively on the surface of the skull with measuring devices, such as electroencephalograms (EEG). An electroencephalograph is a device that measures the pulses produced in the center of the head (Li et al., 2018). It has a high temporal resolution that can reflect the strength and position of brain activity. The signals are measured by electrodes mounted on the scalp based on the 10-20 electrode system. A channel is obtained from the voltage difference between two electrodes, and the set of channels together creates the EEG recording. The EEG signal is recorded (Candra et al., 2017) by several channels that, depending on the accuracy of the measurement, the number of channels can be selected as 24, 32, 64, 128, and 256. Identification of emotions from EEG signals requires several important factors, including the features and number of channels that are used during the recording (Candra et al., 2017). As the number of channels increases, the accuracy of the measurement is enhanced; however, a large number of channels on the head can delay the signal recording process, which is inconvenient for both the physician and the patient. In addition, with increasing the number of channels, the costs of signal recording increase. Therefore, reducing the data record time and its costs would be possible by decreasing the number of channels and maintaining the quality of the record result. Jana and Mukherjee, depicted an efficient seizure prediction technique using a convolutional neural network with optimizing the EEG channels (Jana & Mukherjee, 2021).

Features that are extracted for emotion recognition are the most important steps in the EEG signal processing. These steps consist of EEG signal preprocessing, including artifacts of electromyography and electrooculography signals. Many scientists are interested in automated feature learning that deep learning neural networks provide acceptable results. Deep learning networks are one of the up-to-date topics in the field of machine learning in EEG signal analysis. Deep networks are an unsupervised and supervised learning method. These networks have provided acceptable results in reducing the input space of large databases, such as brain signal data (Yin et al., 2017). Stacked autoencoder networks (SAE) are one of the deep learning methods that can automatically extract complex nonlinear abstracted features from a signal. Jose et al. (2021) developed the structure of stacked autoencoders to extract low-level and high-level features in deep layers. These features are a good representation of the signal, which is considered a good indication to evaluate the importance of the presence or absence of channels (Candra et al., 2017; Yin et al., 2017). Therefore, SAE can be effective in reducing the number of channels based on feature fusion. For EEG channel reduction, Candra et al. applied the weave feature to classify emotion and achieved 76.8% accuracy for valence and 74.3% for arousal emotion (Candra et al., 2017).

In this study, the optimal features of EEG signals are extracted using stacked autoencoder networks. A deep learning method is proposed for emotion recognition for important feature extraction from EEG signals. The linear or nonlinear combination of temporal, frequency, and linear features is extracted with SAEs. A channel reduction method is also used to improve an emotion classification for classification in two dimensions arousal and valance. Accordingly, a combination of features by SAEs is proposed as an EEG-emotion feature to classify low/high states of valence and arousal dimensions. The evaluation determines the importance of each channel based on the characteristics obtained from the SAE networks. This approach can reduce the number of channels and time to prepare the process of recording and during signal recording, this proposed method is described in the next section.

This study consists of four sections which are explained below. In the second section, the structure of the autoencoder neural network is completely described. The third section, discusses methods for classifying EEG data based on standard EEG features related to emotion recognition according to two dimensions, arousal, and valance, as well as features extracted from the autoencoder network are discussed. Results and discussion sections are presented in sections 4 and 5 in which the results of the experiments are reported. Meanwhile, the results of the channel reduction algorithm on classification applying support vector machine (SVM) algorithm were discussed along with channel location that is related to valence and arousal dimensions.

## 2. Materials and Methods

This section of the paper has five main parts. In the first part, the database which is used in this study has been explained. Subsequently, EEG data processing, including pre-processing and processing, is mentioned. The third part describes the SAE network and then the technique for reducing the number of channels is stated. In the end, the performance evaluation criteria that can assess the performance of the proposed method are mentioned.

## **DEAP database**

In this study, the DEAP physiological database has been used, which was collected by Koelstra et al. in 2012 to analyze emotion states. One of the recorded electrophysiological signals in the database is the EEG signal which consists of 32 electrodes according to the international 10–20 electrodes placement system. The



Figure 1. Arousal and valence-based emotion model

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Table 1. Feature extracted from the electroencephalogram channels

Selected Features	Rules
Electroencephalogram power of sub-bands: Theta (4–8 Hz), alpha (8–12 Hz), beta (12–30 Hz), gamma (30–45 Hz)	$P = \frac{1}{N} \sum_{i=1}^{N}  \mathbf{x}_i^2 $ x <sub>i</sub> is the matrix of data
Mean	$\mu = \frac{1}{N} \sum_{i=1}^{N} x_i$ x <sub>i</sub> is the matrix of data
Standard deviation	$\sigma^{2} = \frac{1}{N-1} \sum_{i=1}^{N} (x_{i} - \mu)^{2}$ x <sub>i</sub> is the matrix of data
Zero-crossing rate	$ZCR = \frac{1}{2N}  sgn[x(n)] - sgn[x(n-1)] $ x <sub>1</sub> is the matrix of data
Fractal dimension	$\log_{\varepsilon} N = \frac{\log N}{\log \varepsilon} = -D.$
Approximate entropy	$\begin{split} \mathcal{C}_{i}^{m}(r) &= (number \ of(x_{j}) such \ that \ d x_{i} - x_{j}  \leq r)/(N - m + 1) \\ \varphi^{m}(r) &= (N - m + 1)^{-1} \sum_{\substack{l=1 \\ i=1}}^{=(N - m + 1)} \log \left( \mathcal{C}_{l}^{m}(r) \right) \\ & ApEn = \varphi^{m}(r) - \varphi^{m + 1}(r) \\ & x_{i} \ \text{is the matrix of data} \end{split}$
Correlation dimension	$C(\epsilon) = \lim_{n \to \infty} \left(\frac{g}{N^2}\right)^n$
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EEG signal was recorded from 32 participants with a mean age of 28 years, 16 of whom were female. The data were not gender biased. Emotional stimuli, which induced 4 types of emotion for each subject, were done with 40 types of 1-min music videos. Next, the participants were asked to rate their emotions with emotional labels using the self-assessment Mankins questionnaire on valence and arousal dimensions. The selection of videos was based on 4 classes of emotion as follows: High arousal-high valence, high arousal-low valence, low arousal-high valence, and low arousal-low valence. The sampling frequency at the time of EEG signal recording was 256 Hz, which was then reduced to 128 Hz by the down-sampling method. A baseline 5-min signal was recorded for each participant, with a 3-second interval between each video to relieve the individual's emotional state (Koelstra et al., 2012). The model of arousal and valence dimension is illustrated in Figure 1.

## EEG data processing

The main objective of the present study is the classification of human emotions and emotional states. Artifacts and noises, including electromyography and electrooculography signals, are removed by the independent component analysis method (Ashtiyani et al., 2008). Based on previous studies in emotion recognition, EEG signal has three main feature categories that are categorized into time, frequency, and time-frequency features that are considered in this study (Chen et al., 2020). The most common features used in emotion recognition studies are power, mean, standard deviation, zero-crossing rate, entropy, fractal dimension, and correlation dimension which are shown in Table 1 (Wang & Wang, 2021; Yu & Wang, 2022). We used these features to train the SAEs with 1-s, 2-s, 4-s, and 8-s windows with 50% overlap (w). All features were normalized between zero and one. These features are the inputs of stacked autoencoders that are combined to represent the best-abstracted features. The sampling frequency was 128 Hz; therefore, the EEG signal consisted of 128 samples in one second. Considering "m" as the duration of the signal based on seconds, 10 features (based on Table 1), and 32 channels, the size of the input is equal to "n=(32×10×m×50%)/w," where n is the dimension of the extracted features in the input.

#### Stacked autoencoder for feature extraction

The autoencoder networks are one of the deep learning methods in that structure that is symmetrical. There are three layers, including the input layer, hidden layer, and output layer that are named encoder and decoder parts. The output of the first encoder acts as the input of the second autoencoder network and this process will be continued to make a SAE. The features that are extracted from the DEAP database are the extension of the SAE. The network at the SAEs level uses unlabeled data based on an unsupervised approach (Chai et al., 2016). The flowchart of the proposed method that we applied is illustrated in Figure 2. The last decoder is removed and the weights and biases are the final abstracted features that we need. Fine-tuning function when classifying emotions that set SAE parameters is the most important part of emotion classification. This stage is trained with labeled data to train all layers at one time (Yin et al., 2017) (Equations 1, 2, 3, and 4).

1. 
$$h = \sigma(\mathbf{x} \cdot \mathbf{W} + \mathbf{b})$$

2. 
$$\sigma(z) = \frac{1}{(1+e^{-z})}$$

3. 
$$\mathbf{x}' = \sigma(\mathbf{h}.\mathbf{W}^T + \mathbf{c})$$

4. 
$$E = \sum_{k=0}^{3} (x - x')^2$$

In Equation 1,  $x \in \mathbb{R}^n$  is the vector of the input (feature selected from raw EEG).  $h \in \mathbb{R}^p$  is the vector of the hidden layer, and P is the dimension of abstracted features. Meanwhile,  $\sigma$  shows the sigmoid function and  $W \in \mathbb{R}^{p \times n}$ is a weight matrix,  $b \in \mathbb{R}^p$  is a bias vector that is shown in Equation 2. In Equation 3,  $x' \in \mathbb{R}^n$  is the next layer that the dimension of x' is equal x. The weights of the hidden layer reconstruct the output or x'. The square error cost function in Equation 4, is E where the E is minimized when the input and the reconstructed input are the most similar. The weights and biases of the last hidden layer are the features extracted from the SAE network that are calculated with the fine-tuning method by minimizing the reconstruction loss.

## Classification

The features obtained from the SAE networks are used to classify emotion classes. K nearest neighbor (KNN) classifier, naive Bayesian classifier (BN), and SVM classifiers are considered as these classifiers are widely used in the field of emotion recognition (Yu & Wang, 2022). An architecture of the presented model is illustrated in Figure 1, including the extraction of primary features from the raw data of EEG signal and, applying SAEs to obtain abstracted features and emotion classifiers from optimal features.

## **Channel reduction**

In various studies, all the channels of EEG signals are used for emotion recognition. However, some of them indicate that all channels have no significance (Candra et al., 2017). Using all 32 channels is not comfortable for doctors and patients during EEG signal recording. Therefore, emotion recognition that uses a minimum number of channels has many advantages, namely reducing the computational time and increasing the time efficiency. The requirement of a channel reduction method is seen for the development of emotion classification trends.

In the proposed method, each channel is important and the importance of all of them is evaluated individually based on the accuracy of the classifier in the absence of that channel. The accuracy of emotion classification when all 32 channels are used has been calculated. Then, each channel was ignored and the average of the network was recalculated with the remaining channels. Therefore, when the average of the accuracy is not decreased, the ignored channel is unimportant and the execution of the method continues. Table 2 illustrates a channel reduction algorithm.



Figure 2. The architecture of the feature extraction and classification

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 Table 2. Algorithm for channel reduction

Channel select ← 32 channels
Channel reduction $\leftarrow$ true
While channel reduction == true do
Channel reduction $\leftarrow$ false
New channel select ← channel select
Average accuracy ← accuracy (channel select)
For channel in channel select do
New average accuracy ← accuracy (channel select - channels)
If new average accuracy>= average accuracy then
New channel select ← (new channel select - channel)
Channel reduction $\leftarrow$ true
End
End
Channel select $\leftarrow$ new channel select
End

#### Performance evaluation metrics

There are criteria for evaluating the performance of the method provided. These criteria should properly evaluate the presence or absence of each channel. Classification accuracy, sensitivity, specificity, and false-positive rate (FPR) criteria are considered the methods that are calculated using Equations 5, 6, 7 and 8, respectively, in which "TP" stands for true positive, "FP" is false positive, "FN" stands for a false negative, and "TN" is true negative (Bajpai et al., 2021).

5. Classification accuracy = 
$$\frac{(TN + TP)}{(TN + FN + TP + FP)}$$

6. Sensitivity = 
$$\frac{TP}{TP + FN}$$

7. Specificity 
$$=\frac{TN}{TN+FF}$$

8. 
$$FPR = \frac{FP}{FP + TN}$$

## 3. Results

In this study, an emotion recognition method using EEG signals is proposed. SAE network is one of most

practical the deep learning techniques, which is used for important feature extraction and feature fusion hidden in raw EEG signals for classification. Recording brain signals with an EEG system using 32 channels cannot be comfortable for doctors and patients. Thus, a channel reduction technique with maintaining the quality of signal recording and the main feature of brain signals is needed to reduce the number of channels for emotion recognition.

In this section, the results obtained from the network are presented in the form of classification accuracy, sensitivity, specificity, and FPR. The 1-s, 2-s, 4-s, and 8-s windows with 32 channels are considered for extracting features and training the SAEs. Based on the results, the accuracy of classification has been enhanced when the network uses an 8-s window to train data. Therefore, the optimal duration is considered the 8-s window as shown in Table 3.

According to the proposed method, 10 channels, including Fp1, Fp2, Af3, F8, F4, Fz, Cp5, Pz, Po3, and Po4 channels in valence dimension and Af4, F7, Fc1, C3, Cp5, Cp2, P3, P4, Po4, and O1 channels in arousal dimension have been reduced when the network is in the first iteration. Hence, only 22 significant channels remained. The next time, 4 channels Af4, F7, Fc6, and

		Mean±SD Accuracy			
Dimension	Classification				
		1-s	2-s	4-s	8-s
Valence	SVM	0.8101±0.003	0.8175±0.021	0.8102±0.001	0.8255±0.081
	BN	0.7101±0.011	0.7285±0.015	0.7681±0.005	0.7862±0.016
	KNN	0.6958±0.061	0.7137±0.008	0.7315±0.014	0.7471±0.002
	SVM	0.7361±0.026	0.7602±0.009	0.7729±0.004	0.8163±0.026
Arousal	BN	0. 6883±0.071	0.6932±0.041	0.7412±0.062	0.7948±0.006
	KNN	0.7172±0.001	0.7202±0.012	0.7296±0.016	0.7393±0.059

Table 3. The mean accuracy of classification for 32 participants (1-s, 2-s, 4-s, and 8-s) in valence and arousal dimension

Abbreviations: SVM: Support vector machine; BN: Naive Bayesian classifier; KNN: K nearest neighbor.

P8 in valence dimension and Fp1, Fz, T7, and Cp6 in arousal dimension have been reduced and only 18 channels are available. In the third iteration, 6 channels Fc5, Fc2, T7, Cz, Cp6, and Oz in valence dimension and F4, Fc5, Fc2, C4, Cp1 and Po3 in arousal dimension have been reduced and only F3, FC1, C3, C4, T8, CP1, CP2, P7, P3, P4, O1, and O2 channels in valence dimension and Fp2, AF3, F8, F3, FC6, Cz, T8, P7, Pz, P8, O2, and Oz channels in arousal dimension are considered the 12 most important channels. In the fourth iteration, when each channel is reduced, the accuracy is significantly decreased (Table 4). Therefore, based on the calculated accuracy in ultimate stage, the channel reduction process is ended and only 12 channels are named F3, FC1, C3, C4, T8, CP1, CP2, P7, P3, P4, O1, and O2 channels in valence dimension and Fp2, AF3, F8, F3, FC6, Cz, T8, P7, Pz, P8, O2, and Oz channels in arousal dimension are used to emotion classification. SVM classifier has obtained higher accuracy than other classifiers. The achieved SVM classification accuracy, sensitivity, specificity, and FPR are 75.7%, 89%, 76.33%, 0.1475 in valence dimension and 74.4%, 84 %, 73.65 %, 0.1348 in arousal dimension, respectively, using the sample duration of 8-s EEG data with 6 channels.

The accuracy value of maximum and minimum in valence dimension with a steeper graph is greater than the arousal dimension (Figure 3). Among the classifiers selected in this study, the SVM network has reported the highest accuracy in two dimensions, arousal and valence. In the valance dimension, the accuracy of the SVM classification starts from  $0.8255\pm0.081$  and stops the algorithm with the accuracy of  $0.7578\pm0.026$ , and in the arousal dimension, it starts from  $0.8163\pm0.026$  and stops with the accuracy of  $0.7445\pm0.026$ .

The locations of the 12 EEG channels obtained from valence and arousal dimensions before and after channel

Table 4. The mean accuracy of classification for 32 participants (32, 22, 18, and 12 channels) in valence and arousal dimensions

Classification			The Mean of Accuracy			
		32 Channels	22 Channels	18 Channels	12 Channels	
	SVM	0.8255±0.081	0.7959±0.090	0.7850±0.005	0.7578±0.026	
Valence	BN	0.7862±0.016	0.7581±0.021	0.7384±0.004	0.7051±0.058	
	KNN	0.7471±0.002	0.7332±0.093	0. 6995±0.017	0. 6536±0.063	
Arousal	SVM	0.8163±0.026	0.7798±0.009	0.7606±0.004	0.7445±0.026	
	BN	0.7948±0.006	0.7566±0.041	0.7203±0.062	0.7004±0.006	
	KNN	0.7393±0.059	0.7035±0.012	0.6808±0.016	0.6501±0.059	

Abbreviations: SVM: Support vector machine; BN: Naive Bayesian classifier; KNN: K nearest neighbor. NEUR SCIENCE



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Figure 3. The accuracy of support vector machine classification before and after channel reduction in valence and arousal dimensions

reduction are shown in Figure 4. Accordingly, valence and arousal dimensions involve a different combination of EEG channels. In valence dimension, channels F3, FC1, C3, C4, T8, CP1, CP2, P7, P3, P4, O1, and O2 have remained. These channels are more related to the middle left and right hemispheres. However, in the valence dimension, channels Fp2, AF3, F8, F3, FC6, Cz, T8, P7, Pz, P8, O2, and Oz are considered which involve frontal and parietal lobes of the brain.

The result of the accuracy comparison of SVM, KNN, BN classifiers, and feature extraction methods of principal component analysis and nonlinear principal component analysis with 8-s windows are shown in Table 5. The results illustrate that the feature extraction method by the stacked autoencoder has reported the highest accuracy compared with BN and KNN for 12 channels.

## 4. Discussion

This paper proposed an efficient emotion recognition method using EEG signals, which can classify emotional states. A deep learning network was used in this study to extract complex linear and nonlinear features from EEG data. A channel reduction technique is applied to emotion recognition using the minimum number of channels of the EEG signal. This algorithm provides a non-invasive easy-use method to diagnose emotion states. The



Figure 4. The location of 12 electroencephalogram channels in valence (a) and arousal (b) dimensions

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Dimension	Accuracy	Feature Extraction Method			
		РСА	NPCA	SAE	
Valence	SVM	0.6035±0.011	0.6601±0.001	0.7578±0.026	
	BN	0.5230±0.016	0.5923±0.006	0.7051±0.058	
	KNN	0.5531±0.012	0.5642±0.059	0. 6536±0.063	
Arousal	SVM	0.5942±0.002	0.6501±0.021	0.7445±0.026	
	BN	0.5191±0.021	0.5810±0.003	0.7004±0.006	
	KNN	0.5390±0.001	0.5598±0.025	0.6501±0.059	
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Table 5. Accuracy of SVM, BN, and KNN classifiers and PCA and NPCA feature extraction methods with 8-s windows and 12 channels

Abbreviations: SVM: Support vector machine; BN: Naive Bayesian classifier; KNN: K nearest neighbor; PCA: Principal component analysis; NPCA: Nonlinear principal component analysis.

SVM classifier has reported the highest accuracy compared to BN and KNN classifiers. EEG emotion recognition using the SAE feature selection method has been demonstrated with an accuracy of 75.7% for valence and 74.4% for arousal. SAE has the advantage of the ability to extract low-level features from the input layer and high-level features in deep layers (Jose et al., 2021).

## 5. Conclusion

By using SAE networks, the EEG channels can be reduced from 32 to 12 with less than a 9% reduction in accuracy in both valence and arousal dimensions. This process can reduce the number of channels and simplify the process of recording the signal so that the important parameters in the EEG signal are completely preserved. The proposed method of emotion recognition only uses EEG signals of 12 channels. Although channel selection algorithms are in general based on features extracted from the EEG signals, this approach could report the most efficient results among the state-of-the-art emotion recognition methods.

## Study Limitations

The limitations of this study include primary feature selection, detection of various cognitive disorders, and investigation of EEG feature categories separately. In future studies, this method can be used to classify other medical data. Moreover, the primary extracted features can be automatically extracted by neural networks. **Ethical Considerations** 

## Compliance with ethical guidelines

All ethical principles are considered in this article. The participants were informed of the purpose of the research and its implementation stages. They were also assured about the confidentiality of their information and were free to leave the study whenever they wished, and if desired, the research results would be available to them. A written consent has been obtained from the subjects. Principles of the Helsinki Convention was also observed.

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## Authors' contributions

All authors equally contributed to preparing this article.

## **Conflict of interest**

The authors declared no conflict of interest.

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